



# Candidate Mine Assessment Checklist

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This assessment is used to help identify a suitable mine for The Impact Facility to engage with.

Please complete the following criteria list. Put a tick next to true statements. Once complete, add up all scores.

CRITERIA FOR MINE IDENTIFICATION	✓ if true	Score
<b>ASSESSMENT OF THE MINE'S ECONOMIC POTENTIAL</b>		
<b>EQUIPMENT</b>		
Mine operates with a very basic equipment but has also re-invested revenue into their operation and is able to afford some mechanised equipment, such as pumps, rock drills and an excavator	<input type="checkbox"/>	1
Mine does not have equipment already provided to it on fair terms	<input type="checkbox"/>	1
<b>ASMO COHESION AND ORGANISATIONAL CAPACITY</b>		
Mine has been active for over 1 year	<input type="checkbox"/>	0.5
Mine has a leadership team that has been consistent for over a year.	<input type="checkbox"/>	0.5
Mine has a track record of production	<input type="checkbox"/>	1
<b>RESOURCE POTENTIAL</b>		
Mine has an orebody in excess of 100 m <sup>3</sup> for a hard rock mine, and 300 m <sup>3</sup> for a soft rock mine.	<input type="checkbox"/>	1
Mine has a gold grade of >25g/tonne for hard rock deposits and >10g/tonne for soft rock deposits when you divide recovery grade by the recovery rate.	<input type="checkbox"/>	1.5
<b>OR</b> Mine has a gold grade of >20g/tonne for hard rock deposits and >7g/tonne for soft rock deposits when you divide recovery grade by the recovery rate.	<input type="checkbox"/>	0.5
Mine has the owner's permission and a company registration.	<input type="checkbox"/>	1
<b>STEP 2. ASSESS THE STATUS OF THE MINE'S ENVIRONMENTAL, SOCIAL AND GOVERNANCE PERFORMANCE AND ITS IMPACT POTENTIAL</b>		
<b>ESG PERFORMANCE STATUS</b>		
Mine meets all of the following criteria:  <u>Criteria:</u> The organisation must not appear on, employ, or sell to persons on the consolidated list of asset freeze targets designated by the United Nations, European Union, and The United Kingdom  <u>Criteria:</u> The Organisation activity is legitimate (not defined as illegal by national law) in country of business  <u>Criteria:</u> The organisation must possess, or be granted A document of legal registration from the government  <u>Criteria:</u> royalties on production are paid at the legally obligated rate  <u>Criteria:</u> The organisation or its members must possess, or be granted land-rights and permissions for all areas on which it operates from the government or the original land holder/s  <u>Criteria:</u> The Organisation is run by a clear leader or leadership team that is responsible and accountable for upholding business practices within The Organisation	<input type="checkbox"/>	10

Criteria: The Organisation has a formal and transparent Organisational type, which can be incorporated in to a legal form, following the respective legislation applicable for the specified type of organisation

Criteria: The Organisation maintains partial records of its revenue and expenses

Criteria: Forced labour, including bonded or involuntary prison labour, must not occur

Criteria: Minimum contracted employment age must not be less than 15 years, or that specified by existing national law for the sector of employment, if this age is older

Criteria: The organisation and its workers do not engage in, support or tolerate the use of corporal punishment, mental or physical coercion or verbal abuse

Criteria: All workers' wages must be equal to or exceed the sector national average wages or official minimum wages for similar occupations, whichever is higher, or receive shares of production or profit that are representative of their work

Criteria: Under Normal conditions, Working hours must not exceed 48 hours per week and at least 24 consecutive hours of rest must be given per week. overtime must not exceed 12 hours per week, and a maximum of 3 hours per day

Criteria: Deductions from salaries of hired workers are only permitted as agreed by national laws, as fixed by a Collective Bargaining Agreement or if the employee has given his/her written consent

Criteria: All third party hired workers must receive at least the same employment conditions as the organisation, and permanent workers must receive the same employment benefits and social protection for the same work performed

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Criteria: Employed Workers' remuneration must be made regularly and properly documented

Criteria: The organisation's activities neither directly, or indirectly finance or support illegal activities or armed conflicts

Criteria: Product not produced by the organisation must be kept physically separate and labelled as such from procurement to sale

Criteria: A mercury-free concentration process precedes amalgamation to prevent whole ore amalgamation

Criteria: Hazardous substances and contaminated tailings must not be discharged into water or where they can reach water bodies

Criteria: Mercury amalgam burning must be done only in designated premises that are not indoors, near urban, residential or recreational areas where people without protection may be affected (particularly pregnant women, children or babies)

Criteria: The organisation must not operate in any area protected under national or international legislation where its activity is not allowed, unless expressly authorised by the conservation body

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#### IMPACT OPPORTUNITY

Mine has a profit-sharing agreement with both the miners and community members, who might be the elderly, who have invested in the mine historically.

1

Mine does not have any exploitative, long-term arrangements with lenders or traders, and must not have an exclusivity agreement where there is.	1
Mine does not operate a processing method that benefits them by running a system that creates waste.	1
Mine has a fair and transparent profit-sharing agreement in place with the mine workers.	1
Mine does not have any binding arrangements with traders for their product.	1
<b>EFFICIENCY OF RESOURCE MOBILISATION</b>	
Mine is mid-size and grouped near other prospective mines.	1.5
<b>STEP 3. ASSESS THE LOCAL AND NATIONAL POLITICAL AND CONFLICT RISKS</b>	
<b>GOVERNMENT POLICY AND ENFORCEMENT</b>	
Mine is not in an area (or country) where there are restrictions on investment into artisanal mining.	1
Mining is in an area (or country) with policies that support investment into artisanal scale mining.	1.5
Mine is not at risk of being forcefully evicted from the land that they mine by the government.	1
<b>LOCAL CONFLICT</b>	
Mine is not at risk of being forcefully evicted from the land that they mine by non-government leaders.	1
Mine is in an area with a low crime rate.	1
Mine is in an area that is well controlled by the government.	1
Mine is not in an area that has a strong history of riots or protests.	1
<b>LOCAL TRADERS AND INVESTORS</b>	
Mine does not currently work with investors.	2
Mine does work with investors, but investors are local and the terms on which investment is made are fair.	0.5
Mine does work with investors, but there could be an mutually beneficial relationship between the investor and The Impact Facility.	0.5
Mine does work with investors, but the investors are transparent with how they operate.	0.5
Mine does work with investors, but the investor is not linked to gangs, terrorism, money laundering or other illicit activities such as smuggling, trafficking or the drugs trade.	0.5
<b>TOTAL SCORE</b> (please add all scores from ticked criteria)	

**A score of 27 – 33 is a good candidate for The Impact Facility**